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PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 3

Complete if Known

Application Number 09/768,304
Filing Date January 25, 2001
First Named Inventor RUBIN et al
Group Art Unit 2452 2157
Examiner Name Not assigned
Attorney Docket Number 2685/5433

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U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number	Kind Code ² (if known)			Class	subclass
S.M.		5,699,431		VAN OORSCHOT et al	12/16/97	380	30
S.M.		5,960,083		MICALI	9/28/99	713	155
S.M.		6,002,768		ALBANESE et al	12/14/99	380	30; 282
S.M.		6,044,462		ZUBELDIA et al	3/28/00	380	30
S.M.		5,793,868		MICALI	8/11/98	380	28

FOREIGN PATENT DOCUMENTS

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		Office ³	Number ⁴	Kind Code ⁵ (if known)				

Examiner Signature

[Signature]

Date Considered

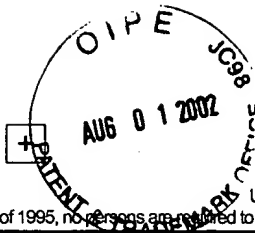
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Sheet **2** of **3**

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Application Number	09/768,304
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First Named Inventor	RUBIN et al
Group Art Unit	2152 2157
Examiner Name	Not assigned
Attorney Docket Number	2685/5433

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Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
S. N.		McCanne et al, "Receiver-driven Layered Multicast", ACM SIGCOMM '96, pages 1-14, Stamford, Connecticut, August 1996	
S. N.		Myers, Michael, "Revocation: Options and Challenges", Proceedings, Financial Cryptography, Second Intl. Conference, FC '98, pp. 165-171, Anguilla, British West Indies, February 23-25, 1998	
S. N.		Feghhi et al, <u>Digital Certificates: Applied Internet Security</u> , Chapter 3, pp. 61-89, Addison-Wesley Longman, Inc., 1999	
S. N.		Housley et al, Internet X.509 Public Key Infrastructure, pp. 1-113, http://www.ietf.org/rfc/rfc2459.txt?number=2459 , The Internet Society, 1999	
S. N.		McDaniel et al, "Windowed Certificate Revocation", Proceedings, IEEE Infocom 2000, The Conference on Computer Communications, Vol. 3, Tel Aviv, Israel, 19 th Annual Joint Conference of the IEEE Computer and Communications Societies, March 26-30, 2000	
S. N.		Mitra et al, "Analysis and Optimal Design of Aggregated-Least-Busy-Alternative Routing on Symmetric Loss Networks with Trunk Reservations", Proceedings, 13 th Intl Teletraffic Congress, Teletraffic and Datatraffic in a Period of Change," pp. 477-482, Copenhagen, Denmark, June 19-26, 1991	
S. N.		Ash et al, "Real-Time Network Routing in a Dynamic Class-of-Service Network", Proceedings, 13 th Intl Teletraffic Congress, Teletraffic and Datatraffic in a Period of Change," pp. 187-194, Copenhagen, Denmark, June 19-26, 1991	
S. N.		Adams et al, "A General, Flexible approach to Certificate Revocation", Entrust Technologies, pp. 1-6, June 10, 1998	
S. N.		Naor et al, "Certificate Revocation and Certificate Update", Dept. of Applied Mathematics and Computer Science, Weizmann Institute of Science, Rehovot, Israel, Senix Papers, printed from the internet on June 6, 2000	
S. N.		Fox et al, "Online Certificate Status Checking in Financial Transactions: The Case for Re-Issuance", Microsoft Corporation, Redmond, Washington, printed from the internet on June 6, 2000	
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Examiner
Signature

SARGON NANO

Date
Considered

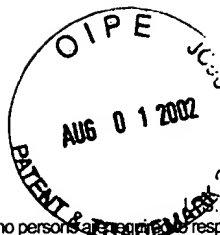
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Sheet 3 of 3

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Group Art Unit	2152 2157
Examiner Name	Not assigned
Attorney Docket Number	2685/5433

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S.N		McDaniel et al, "A Response to "Can We Eliminate Certificate of Revocation Lists?", (ps.gz, pdf), Financial Cryptography Conference, February 2000	
S.N		Wilhelm, Bob, "Objective Control", Name: Subscription/Custom Notification -- OOPSLA 1993, Addendum to the Proceedings, Eighth Annual Conference, Workshop, Patterns: Building Blocks for Object-Oriented Architectures, September 26 - October 1, 1993, Washington, D.C.	

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Sheet 1 of 1

**FORM PTO-1449
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT(S)**

Atty Docket No.: 2685/5433
Serial No. : 09/768,304
Applicants : Aviel D. RUBIN et al.
Filed : 25 January 2001
Group Art Unit : 2152 2157
~~Examiner~~ : ~~Unassigned~~

U.S. PATENT DOCUMENTS

<u>Examiner Initial</u>	<u>Patent Number</u>	<u>Patent Date</u>	<u>Name</u>	<u>Class/ Subclass</u>	<u>Filing Date</u>
<u>S.N.</u>	6,128,740	10/03/00	CURRY et al.	713/200	
<u>S.N.</u>	2002/0004773	01/10/02	XU et al.	705/36	
<u>S.N.</u>	5,699,431	12/16/97	VAN OORSCHOT et al.	380/30	

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OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

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Initial

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|-------------|----|---|
| <u>S.N.</u> | 1. | McDANIEL, P. et al.: <i>Windowed certificate revocation</i> , INFOCOM 2000, NINETEENTH ANNUAL JOINT CONFERENCE OF THE IEEE COMPUTER AND COMMUNICATIONS SOCIETIES PROCEEDINGS IEEE TEL AVIV, ISRAEL 26-30 March 2000, Piscataway, NJ, USA, IEEE, US ISBN: 0-7803-5880-5, pages 1406-1414 |
| <u>S.N.</u> | 2. | WOHLMACHER P: <i>Digital Certificates: A Survey of Revocation Methods</i> , PROCEEDINGS ACM MULTIMEDIA 2000 WORKSHOPS, MARINA DEL REY, CA, Nov. 4, 2000, ACM INTERNATIONAL MULTIMEDIA CONFERENCE, New York, NY: ACM, US Vol. Conf. 8, Pages 111-114 XP001003705, ISBN: 1-58113-311-1 |

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